

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: K. TAKEI, et al.

Application No.: 10/570,349

Filed: MARCH 3, 2004

For: NONAQUEOUS ELECTROLYTE SECONDARY BATTERY  
NEGATIVE ELECTRODE MATERIAL MANUFACTURING  
METHOD THEREOF NONAQUEOUS ELECTROLYTE  
SECONDARY BATTERY NEGATIVE ELECTRODE WITH THE  
ELECTRODE MATERIAL AND NONAQUEOUS  
ELECTROLYTE SECONDARY BATTERY

Group: 1795

Examiner: Brent C. Thomas

Confirm. No.: 7124

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

**Mail Stop: AMD – NO FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

January 12, 2011

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action issued on December 15, 2010, in the counterpart Japanese application, together with an English translation thereof. Also enclosed is a copy of Japanese Patent Document No. 2002-373659 and an English abstract thereof, the Japanese patent document having been cited for the first time in the enclosed Official Action. Further enclosed is a Form PTO/SB/08A, listing the enclosed documents.

In addition to the above-identified Japanese patent document, the enclosed Official Action also cites five (5) additional Japanese patent documents. These five (5) Japanese patent documents have previously been cited and submitted in the

above-identified application, with the Information Disclosure Statement filed August 28, 2006.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, in an application which is not subject to a pending Final Office Action or a pending Notice of Allowance, or any other pending Office Action closing prosecution on the merits. For satisfying requirements of 37 CFR 1.97(c), the following Statement is made under 37 CFR 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied by the enclosed English translation of the enclosed Official Action, and/or by the English abstract of the enclosed Japanese patent document.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed documents. Consideration of the enclosed documents, and, subsequent thereto, issuance of a Notice of Allowance in the above-identified application in due course, are respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit

Account No. 01-2135 (case No. 1204.46017X00), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

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